

| Title              | Working Group on Parentage / Surrogacy: Report of the first meeting (from 13 to 17 November 2023)   |
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| Document           | Prel. Doc. No 1 of November 2023  |
| Author             | Working Group on Parentage / Surrogacy<br>Chair of the Working Group on Parentage / Surrogacy   |
| Agenda Item        | Item II.1   |
| Mandate(s)         | C&D Nos 3 to 8 of CGAP 2023   |
| Objective          | To report on the first meeting of the Working Group and to present the recommendations of the Working Group following its first meeting   |
| Action to be Taken | For Decision  For Approval  For Discussion  For Action / Completion  For Information  |
| Annexes            | Annex I: Aide-mémoire of the first meeting of the Working Group on<br>Parentage / Surrogacy prepared by the Chair<br>Annex II: List of participants of the first meeting of the Working Group<br>on Parentage / Surrogacy   |
| Related Documents  | <ul> <li>Prel. Docs Nos 3A of February 2015, 3B and 3C of March 2014 and C&amp;R No 5 of CGAP 2015</li> <li>Prel. Doc. No 3 of February 2016 and C&amp;R No 15 of CGAP 2016</li> <li>Prel. Doc. No 2 of February 2017 and C&amp;R Nos 8-10 of CGAP 2017</li> <li>Prel. Doc. No 2 of February 2018 and C&amp;R Nos 6-7 of CGAP 2018</li> <li>Prel. Docs Nos 2A of October 2018, 2B of February 2019 and C&amp;R Nos 7-12 of CGAP 2019</li> <li>Prel. Doc. No 2 of November 2019 and C&amp;R Nos 5-9 of CGAP 2020</li> <li>Prel. Doc. No 2A of October 2020, Prel. Doc. No 2B of February 2021 and C&amp;D Nos 5-6 of CGAP 2021</li> <li>Prel. Doc. No 2A of July 2021, Prel. Doc. No 2B of November 2021 and C&amp;D Nos 5-6 of CGAP 2022</li> <li>Prel. Doc. No 1 of November 2022 and C&amp;D No 3-8 of CGAP 2023</li> </ul> |

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# Working Group on Parentage / Surrogacy: Report of the first meeting (from 13 to 17 November 2023)

#### I. Introduction

- From 13 to 17 November 2023, the Working Group (WG) on Parentage / Surrogacy met for the first time. The meeting was held in person at the offices of the Permanent Bureau in The Hague, with the possibility for online participation. The meeting was attended by 54 participants in total. The participants represented 49 delegates from 30 Members, 29 States from various regions and one Member Regional Economic Integration Organisation, and five participants from three Observer organisations. Several staff members of the Permanent Bureau (PB) of the HCCH also attended the meeting. The list of participants is included as an Annex (II).
- At its 2023 meeting the Council on General Affairs and Policy (CGAP) mandated the establishment of a Working Group (WG) on private international law matters related to legal parentage generally, including legal parentage resulting from an international surrogacy arrangement. In addition, CGAP mandated:
  - 45. a. the Working Group first to explore provisions for, if possible, one new instrument on these matters, to further inform CGAP on policy considerations in relation to the scope and content of such instrument, including on the feasibility of reaching consensus. The Working Group could later explore the possibility of two instruments, if necessary;
    - b. the Working Group to proceed on the basis that the aim of any new instrument would be to provide greater predictability, certainty and continuity of legal parentage in international situations for all persons concerned, taking into account their human rights, including, for children, those enshrined in the *United Nations Convention on the Rights of the Child* (UNCRC) and in particular their right that their best interests be a primary consideration in all actions taken concerning them;
    - c. the Working Group to draw on the ideas and assessments in the Final Report of the Experts' Group, recognising that a number of elements and approaches identified could feature either on their own or in a combined way;
    - d. the PB to make arrangements for a maximum of two meetings within Financial Year (FY) 2023-2024, including at least one meeting before the next meeting of CGAP, with a preference for hosting in-person meetings (with the possibility for online participation).
  - 6 The Working Group will update CGAP at its 2024 meeting.
  - 7 CGAP encouraged Members to designate delegates who can speak to the policy views of their State.
  - 8 CGAP reiterated that any work by the HCCH in relation to surrogacy arrangements should not be understood as supporting or opposing surrogacy."
- The *Aide-mémoire* of the Chair of the WG in Annex I provides an overview of the main points of discussion at the Group's first meeting.

### II. Proposal for CGAP

- 4 The WG invites CGAP to take note of the *Aide-mémoire* prepared by the Chair.
- 5 Based on the foregoing, the PB proposes the following Conclusion and Decision:

CGAP took note of the *Aide-mémoire* of the Chair and welcomed the progress made by the WG. To further develop provisions for a draft instrument, CGAP invited the PB to convene two further meetings within Financial Year 2024-2025, possibly in the second half of 2024 and in early 2025, in addition to the April 2024 meeting, with intersessional work as required. The meetings of the WG should preferably be held in person and intersessional work should take place online.



#### Annex I

# Aide-mémoire of the first meeting of the Working Group on Parentage / Surrogacy prepared by the Chair

- This *Aide-mémoire* provides an overview of the main points of discussion at the first meeting of the Working Group (WG) held from 13 to 17 November 2023, under the chairmanship of Professor Michael Hellner (Sweden).
- The Chair of the Experts' Group (EG), Ms Joëlle Schickel-Küng (Switzerland), presented an overview of the work of that Group. The WG expressed its gratitude to the Chair of the EG.
- The WG recalled the mandate of the Council on General Affairs and Policy (CGAP) first to explore draft provisions for one new instrument, and that the Group was to proceed on the basis that the "aim of any new instrument would be to provide greater predictability, certainty and continuity of legal parentage in international situations for all persons concerned, taking into account their human rights, including, for children, those enshrined in the *United Nations Convention on the Rights of the Child* (UNCRC) and in particular their right that their best interests be a primary consideration in all actions taken concerning them". The Group underlined the desirability of rules that would achieve this aim. The Group also noted the fact that surrogacy is permitted and regulated in some States, prohibited in other States, and unregulated in yet others.
- Participants provided updates on new key legal developments in their States. The participant representing the European Union presented the recent Proposal of the European Commission for a Council Regulation on jurisdiction, applicable law, recognition of decisions and acceptance of authentic instruments in matters of parenthood and on the creation of a European Certificate of Parenthood.
- Participants highlighted their responses to the questionnaire which had been circulated by the Permanent Bureau (PB) ahead of the first meeting of the WG. Participants also outlined their expectations for the WG.

#### A. Rules for legal parentage established by a judicial decision

- The Group commenced its consideration of draft provisions for one new instrument by focusing on possible rules on the recognition of judicial decisions, and in particular to what extent they could be applied to different scenarios of establishment, contestation and / or termination of legal parentage. The Group used as its basis for this discussion draft provisions which were prepared for the seventh meeting of the EG.
- The scenarios that the Group considered included conventional legal parentage cases, domestic adoption, domestic surrogacy, international surrogacy, multiple legal parents, and assisted reproductive techniques involving or not involving a third party.
- The Group considered the content of possible rules for each of these scenarios, including indirect grounds of jurisdiction, grounds for refusal of recognition, and conditions for recognition.
- 9 The Group had a fruitful discussion identifying the extent to which the same or different rules on recognition of judicial decisions might be necessary for the different scenarios.
- The Group agreed that for many scenarios, the same indirect grounds of jurisdiction and grounds for refusal of recognition could be appropriate, subject to further consideration. However, the Group

<sup>&</sup>lt;sup>1</sup> 2023 CGAP, C&D No 5a.

identified other scenarios, such as for legal parentage established as a result of a surrogacy arrangement, that may require some specific rules. It was also highlighted that mechanisms, such as declarations to achieve flexibility in the application of any instrument, might be attractive to some States in light of the divergent laws in the area of legal parentage.

- The Group noted the different types of decisions (often judicial) and of competent authorities that deal with legal parentage, and that terms such as "decision" and "authority" or "court" in any instrument would require autonomous definitions.
- The Group also discussed the possible inclusion of rules on partial recognition and incidental questions; requests for decision of recognition or non-recognition; the requested State being bound by the findings of fact on jurisdiction by the State of origin; the legal effects of recognition, in particular in relation to domestic adoption; and the enforceability of determination of costs.

#### B. Rules for legal parentage recorded in a public document

- The Group had a useful discussion on the desirability and feasibility of including rules on public documents concerning legal parentage. A number of options for rules were considered.
- 14 It was noted that rules requiring States to give greater effects to foreign public documents than to their own equivalents would pose feasibility challenges.
- The possible connection of uniform rules on applicable law to rules on public documents was discussed. The Group agreed to continue the discussion in its future work, as well as considering other options (e.g., international certificate on legal parentage).

#### C. Future work

- The Group will meet for its second meeting in April 2024.
- In preparation for its next meeting, the Group decided that the draft rules on recognition of judicial decisions should be updated to reflect its discussion and inform its future work. It was decided that a subgroup would work intersessionally on such draft rules.
- Given the progress made, the WG recommends that CGAP approve the continuation of the Group's work, including two further meetings within Financial Year 2024-2025, possibly in the second half of 2024 and in early 2025, in addition to the April 2024 meeting, with intersessional work as required. The meetings of the WG should preferably be held in person and intersessional work should take place online.

## Annex II

# List of participants of the first meeting of the Working Group on Parentage / Surrogacy

|         | Representing | <i>Online :0</i><br><i>On-site :S</i> | Surname          | First name | Job Title   | Organisation   |
|---------|--------------|---------------------------------------|------------------|------------|---|--|
| Members | Argentina    | 0                                     | Rubaja           | Nieve      | Legal Adviser, Ministry of Foreign<br>Affairs; Professor in Private<br>International Family Law | University of Buenos Aires   |
|         | Armenia      | 0                                     | Sourouzian       | Aram       | Legal Expert, International Legal<br>Cooperation Department                                     | Ministry of Justice  |
|         | Belgium      | 0                                     | Bruyninckx       | Margot     | Legal Adviser Family Law  | Service Public Fédéral de la<br>Justice  |
|         |              | 0                                     | Verbeemen        | Kim        | Attachée, Juriste   | Service Public Fédéral de la<br>Justice  |
|         |              | 0                                     | Hanson           | Laura      | Legal Expert  | Service Public Fédéral de la<br>Justice  |
|         | Brazil       | S                                     | Froeder Dittrich | Lalisa     | Chief of the Unit for International Child<br>Support  | National Secretariat of Justice,<br>Ministry of Justice and Public<br>Security |
|         | Canada       | S                                     | Jacques          | Emmanuelle | Counsel   | Department of Justice Canada   |
|         | China        | 0                                     | Wu               | Qiong      | Deputy Division Director, Department of Treaty and Law  | Ministry of Foreign Affairs  |

| Members |                       | S | Gan              | Yong                | Professor of Law   | Wuhan University School of<br>Law    |
|---------|-----------------------|---|------------------|---------------------|--|--------------------------------------|
|         |                       | 0 | Yuen             | Cheuk Lun<br>(Eric) | Treaties & Law, International Law<br>Division  | Hong Kong SAR Department of Justice  |
|         |                       | 0 | Zhu              | Lin                 | Senior Officer   | Macau SAR Legal Affairs<br>Bureau    |
|         | Cyprus                | 0 | Constantinou     | Marialena           | Counsel of the Republic A'   |                                      |
|         |                       | 0 | Koumi            | Theano              | Counsel  | Law Office of the Republic           |
|         |                       | 0 | Psoma            | Chrysovalando       | Counsel  | Law Office of the Republic           |
|         | Czech Republic        | S | Miltner          | Aneta               | Legal Expert   | Ministry of Justice                  |
|         |                       | 0 | Kadlecová        | Martina             | Head of International Private Law Unit,<br>International Department for Civil<br>Matters | Ministry of Justice                  |
|         |                       | 0 | Vostalova Grüter | Alena               | Lawyer   | Ministry of Justice                  |
|         | Dominican<br>Republic | 0 | Cubilete         | Tigidis             | First Secretary  | Embassy of the Dominican<br>Republic |

| Members | El Salvador       | S | Marroquín<br>Martínez | Alex David    | Chair of the Civil Chamber of the<br>Supreme Court of Justice      | Court of First Instance for<br>Children and Adolescents of<br>San Salvador |
|---------|-------------------|---|-----------------------|---------------|--|--|
|         |                   | S | Liévano Paz           | Josué         | Counsellor   | Embassy of the Republic of El<br>Salvador                                  |
|         |                   | 0 | Rosales Cruz          | Fátima Raquel | Specialized Procurator for Children and Adolescents                | Attorney General's Office  |
|         | European<br>Union | S | Vilar-Badia           | Maria         | Legislative Officer  | European Commission  |
|         |                   | 0 | De Luca               | Patrizia      | Senior Expert  | European Commission  |
|         | France            | S | Fauchard              | Estelle       | Rédactrice   | Ministère de la Justice  |
|         |                   | 0 | Neel                  | Célia         | Juriste  | Ministère de la Justice  |
|         | Germany           | S | Janzen                | Ulrike        | Head of the Unit for Private<br>International Law                  | Federal Ministry of Justice  |
|         | Greece            | 0 | Metallinos            | Alexandros    | Member of the Greek National<br>Authority of Assisted Reproduction | Greek National Authority of<br>Assisted Reproduction                       |
|         | India             | S | Rangaswamy            | Mythili       | Legal Officer at Legal and Treaty<br>Division                      | Ministry of External Affairs   |

| Members |         | 0 | Khoda     | Richa             | Joint Secretary, Department of Health<br>Research  | Ministry of Health and Family<br>Welfare  |
|---------|---------|---|-----------|-------------------|--|---|
|         | Ireland | S | O'Keeffe  | Tracy             | Principal Officer   Civil Justice<br>Legislation   | Department of Justice and Equality  |
|         |         | 0 | Keegan    | Edward            | Assistant Principal Officer, Bioethics<br>Unit   | Department of Health and<br>Children  |
|         |         | 0 | Keogh     | Karyn             | Assistant Principal Officer, Adoption<br>Policy Unit, Department of Children,<br>Equality, Disability, Int | Department of Health and<br>Children  |
|         | Israel  | 0 | Baron     | Miriam            | Senior Legal Counsel   | Ministry of Justice   |
|         | Italy   | 0 | Bilotti   | Emanuele          | Professor of Private Law   | European University of Rome   |
|         | Japan   | S | Nishitani | Yuko              | Professor of International Private and<br>Business Law   | Kyoto University  |
|         |         | 0 | Miyazaki  | Fumiyasu          | Attorney, International Affairs Division,<br>Minister's Secretariat, Ministry of<br>Justice                | Ministry of Justice   |
|         |         | 0 | Ishikawa  | Maiko             | Attorney, Civil Affairs Bureau   | Ministry of Justice   |
|         | Mexico  | 0 | Albornoz  | María<br>Mercedes | Professor of Private International Law;<br>External Adviser on PIL   | Ministry of Foreign Affairs;<br>Centro de Investigacíon y<br>Docencia Económicas (CIDE) |

| Members |                       | 0 | Álvarez Rendón           | Martha<br>Angélica        | Director of Private International Law           | Ministry of Foreign Affairs<br>Mexico                   |
|---------|-----------------------|---|--------------------------|---------------------------|---|---|
|         | Netherlands           | S | Hoeven                   | Just                      | Coordinating legislative counsel Family<br>Law  | Ministry of Justice and Security                        |
|         |                       | 0 | Gelauff                  | Maril                     | Senior Legislative Counsel Family Law           | Ministry of Justice and Security                        |
|         |                       | 0 | Volkers                  | Bregje                    | Senior Policy Officer Family Law                | Ministry of Justice and Security                        |
|         | Philippines           | 0 | Aguiling-<br>Pangalangan | Elizabeth                 | Professor of Private International Law          | Embassy of the Philippines                              |
|         | Russian<br>Federation | S | Shvets                   | Elena                     | Head of Section of the Legal<br>Department      | Ministry of Foreign Affairs                             |
|         | South Africa          | S | Ozah                     | Ronaldah<br>Lerato Karabo | Director, Centre for Child Law, Faculty of Law  | Centre for Child Law                                    |
|         |                       | 0 | Khesa                    | Mosiwa                    | Principal Family Advocate: Limpopo              | Department of Justice and<br>Constitutional Development |
|         |                       | 0 | Du Plooy                 | Marne                     | Acting Principal Family Advocate: Free<br>State | Department of Justice and<br>Constitutional Development |
|         | Spain                 | 0 | González Beilfuss        | Cristina                  | Professor in Private International Law          | University of Barcelona                                 |

| Members           | Sweden  | S   | Hellner (Chair<br>of the WG) | Michael  | Professor of Private International Law                             | Stockholm University                    |
|-------------------|---|-----|------------------------------|----------|--|---|
|                   | Switzerland   | S   | Schickel-Küng                | Joëlle   | Co-cheffe de l'Unité droit international<br>privé                  | Federal Office of Justice               |
|                   | Ukraine   | 0   | Ruda                         | Lyudmyla | Deputy Head of the Department on<br>International Legal Assistance | Ministry of Justice                     |
|                   | United<br>Kingdom                                       | S   | Wright                       | Andrea   | Senior Legal Adviser   | Ministry of Justice                     |
|                   | United States of America                                | S   | Vogel                        | Lisa     | Attorney Adviser   | U.S. Department of State                |
|                   |   | 0   | Prosser                      | Sarah    | Assistant Legal Adviser for PIL                                    | U.S. Department of State                |
|                   | United Nations  |     |                              |          |  |   |
| Observers<br>IGO  | Children's Fund<br>(UNICEF)                             | 0   | Di Martino                   | Kirsten  | Senior Child Protection Adviser,<br>Programme Division             | United Nations Children's Fund (UNICEF) |
|                   |   | 0   | Agcaoili                     | Grace    | Child Protection Specialist  | United Nations Children's Fund (UNICEF) |
|                   |   |     |                              |          |  |   |
| Observers<br>INGO | International<br>Academy of<br>Family Lawyers<br>(IAFL) | 0/S | Kelsey                       | Rachael  | President of the IAFL  | SKO Family Law Specialists              |

| Observers<br>INGO |  | 0/S | Dally         | Jemma    | Fellow, IAFL                    | Goodman Ray Sollicitors |
|-------------------|--|-----|---------------|----------|---------------------------------|-------------------------|
|                   | International<br>Social Service<br>(ISS) | 0   | Alloero       | Carlotta | Child Protection Lawyer         | ISS                     |
|                   |  |     |               |          |                                 |                         |
| НССН              | Permanent<br>Bureau                      | S   | Martínez-Mora | Laura    | Secretary (Lawyer)              | НССН                    |
|                   |  | S   | Page          | Capucine | Legal Officer                   | НССН                    |
|                   |  | S   | Wells-Greco   | Michael  | Lawyer                          | НССН                    |
|                   |  | S   | Prénas        | Mathilde | Senior Administrative Assistant | НССН                    |
|                   |  | S   | Hawkins       | Stuart   | Website / IT Officer            | НССН                    |
|                   |  | S   | Mohammadi     | Sayeh    | Intern                          | НССН                    |
|                   |  | 0   | Genis         | Leoné    | Intern                          | НССН                    |